Date: January 11, 2018

To: Accreditation Bodies (ABs)
Auditors
Certification Bodies (CBs)
OASIS Sector Representatives

Subject: OASIS Change related to:

a) Prevent Certifications of Withdrawn Certificates from Audit Entry
b) Clearly Identify Audit Scope vs Certification Scope

Prevent Certification of Withdrawn Certificates from Audit Entry

This change has been developed and designed to re-enforce ISO/IEC 17021-1:2015 requirements for:

i. Withdrawn Certificates
   To prevent the functionality in current OASIS system that allows the status of withdrawn certificates to have
   the status changed to ‘certified’ by the addition of any audit other than ‘Initial’. This ensure that the only other
   method of changing the withdrawn status to certified is through certificate modification in case of a withdrawal
   in error.

   An "Advance warning" has also been provided to CBs so that they are aware they are working on an audit
   that cannot be published.

ii. Expired Certificates
    Where a certificate has expired (not withdraw), the same CB may only restore certified status by completion
    of a recertification audit (either completion of the existing recertification audit or a new recertification audit)
    within 6 months of the expiry date. After this time, an initial audit is required /ref. ISO IEC 17021-1:2015
    clause 9.6.3.2.5 regarding restoration of expired certificates)

    On this case, no “Advance warning” has been provided to CBs.

Clearly identify Audit Scope vs Certification Scope

This change has been developed and designed to modify incorrect functionality in OASIS:

i. On the Certificate tab of a new audit entry, there was an option for the CB to “Refresh from Form 5”. This
    action copied the data from the Form5 “Audit Scope #22” field and populated the “Certificate Scope”. This
    button/action has therefore been removed, since it was incorrectly pulling the Form 5 “Audit Scope” into the
    “Certificate Scope” field.
ii. When a new Form 5 was created by an auditor, the “Audit Scope #22” field was prefilled with the “Certificate Scope” entered by the CB during the audit setup. The auditor could then overwrite it. This “pre-fill” action has been removed and the “Audit Scope #22” field will remain blank for the Auditor to fill.

iii. When viewing a “Read Only” view of an audit, the label on the scope has been changed to “Certificate Scope” (removed the “Audit” reference)

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Sincerely,

The IAQG Database Team